

Research Policy

Pacific Capital Partners Limited pays for all research received using a Research Payment Account (other than research that constitutes an acceptable minor non-monetary benefit). A Research Budget will be assessed and set annually. The firm will ensure that the total research charge collected from all its clients does not exceed the research budget for the relevant period.

Research Review Committee

The firm has a Research Review Committee which has overall control of, and responsibility for, the spending for research, the collection of client research charges and the determination of payments.

A full copy of the firm's research policy is available on request.

November 2023